

**महाराष्ट्र शासन**

उद्योग, ऊर्जा व कामगार विभाग,

शासन परिपत्रक क्रमांक- साप्रोयो-२१११/प्र.क्र. १०७/उद्योग-८,

मंत्रालय, मुंबई-४००३२

दिनांक १७ जून, २०११

**वाचा :-** १) शासन निर्णय, उद्योग, ऊर्जा व कामगार विभाग, क्रमांक- पीएसआय-१७०७/सीआर-५०/उद्योग-८, दिनांक ३०/३/२००७

२) राज्यस्तरीय सल्लगार समितीच्या दिनांक ८/२/२०११ रोजी झालेल्या बैठकीचे इतिवृत्त.

**परिपत्रक**

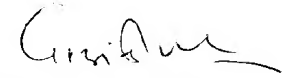
सामुहिक प्रोत्साहन योजना, २००७ मधील परिच्छेद ५.५ नुसार साप्रोयो-२००७ अंतर्गत विदर्भ विभागातील नविन व विस्तारीकरण करणा-या पात्र घटकांना त्यांनी महाराष्ट्र राज्यातील खाण मालाकांकडून खरेदी केलेल्या खनिजावर भरणा केलेले स्वामित्व धन वाणिज्यिक उत्पादनापासून पाच वर्षांच्या कालावधीकरीता परत करण्यात येईल अशी तरतूद आहे. सामुहिक प्रोत्साहन योजना, २००७ नुसार प्रधान सचिव (उद्योग) यांच्या अध्यक्षतेखालील राज्यस्तरीय समितीस सामुहिक प्रोत्साहन योजना, २००७ व त्यापूर्वीच्या योजनांचे अर्थविवरण व साप्रोयो संबंधीत निर्माण होणा-या मुद्यांवर निर्णय घेण्याचे अधिकार देण्यात आले आहेत.

२. वरील बाबी विचारात घेता, सामुहिक प्रोत्साहन योजना, २००७ अंतर्गत विदर्भ विभागातील नविन अथवा विस्तारीकरण करणा-या व साप्रोयो, २००७ अंतर्गत पात्र असणा-या उद्योग घटकांना स्वामित्व धन परतावा देण्याबाबतच्या कार्यपद्धतीस राज्यस्तरीय समितीच्या दिनांक ८/२/२०११ रोजी झालेल्या बैठकीत सोबतच्या प्रपत्रानुसार मान्यता देण्यात आली असून त्यानुसार सर्व संबंधितांनी कार्यवाही करावी. उद्योग संचालनालयाने विदर्भातील औद्योगिक संघटना तसेच पात्र घटकांना याबाबत माहिती द्यावी.

३. सदर परिपत्रक वित्त विभागाच्या सहमतीने व त्या विभागाच्या अनौपचारिक संदर्भ क्रमांक २०१/व्यय-१६/११, दिनांक २३/५/२०११ नुसार निर्गमित करण्यात येत आहे.

४. सदर परिपत्रक शासनाच्या [WWW.maharashtra.gov.in](http://WWW.maharashtra.gov.in) या संकेत स्थळावर उपलब्ध असून त्याचा संगणक संकेतांक २०११०६१७११११२१००१ असा आहे.

महाराष्ट्राचे राज्यपाल यांच्या आदेशानुसार व नावाने,



(दा. अं. कुलकर्णी)

शासनाचे अवर सचिव

प्रति,

- १) प्रधान सचिव (वित्त), वित्त विभाग, मंत्रालय, मुंबई
- २) विक्रीकर आयुक्त, विक्रीकर भवन, माझगांव, मुंबई
- ३) विकास आयुक्त (उद्योग), उद्योग संचालनालय, मुंबई
- ४) संचालक, भूविज्ञान व खनिकर्म संचालनालय, मुंबई
- ५) अतिरिक्त उद्योग संचालक (साप्रोयो), उद्योग संचालनालय, मुंबई
- ६) उद्योग सह संचालक, नागपूर विभाग, नागपूर
- ७) उद्योग सह संचालक, अमरावती विभाग, अमरावती.

**प्रपत्र**  
**शासन परिपत्रक, उद्योग, ऊर्जा व कामगार विभाग, क्रमांक साप्रोयो-२१११/प्र.क्र. १०७/उद्योग-८,**  
**दिनांक १७/६/२०११ सोबतचे प्रपत्र**

**Sanction and Disbursement of Royalty Refund to units in Vidarbha Region  
under Package Scheme of Incentives 2007  
(Read Para 5.5 of GR PSI-1707/CR-507/IND-8, dated 30.03.2007)**

The State Government has declared the Industrial, Investment, Infrastructure Policy 2006 to ensure sustained industrial growth, further improve the conducive industrial climate in the State, provide a global competitive edge to the State's industry, and promote employment and balanced regional development. As envisaged in the Policy, the Government of Maharashtra subsequently announced the Package Scheme of Incentives (PSI) 2007 vide Government Resolution No. PSI-1707/(CR-50)/Ind-8, dated 30<sup>th</sup> March 2007. The Government Resolution outlines the eligibility criteria, quantum of incentives and monitoring mechanism for administering the Scheme.

The incentive of Royalty Refund to mineral based eligible units in Vidarbha Region has been incorporated in the Package Scheme of Incentives 2007. The incentive is payable on the minerals purchased from mine owners in Maharashtra or through Maharashtra Small Scale Industries Corporation (MSSIDC) or other agency nominated by Government of Maharashtra for purchasing coal from South Eastern Coalfield Ltd. and Western Coalfield Ltd. for supplying coal to MSMEs, and captive mine owners who are using the minerals exploited by them for their own units. The modalities for sanction and disbursement of Royalty Refund to eligible units under Package Scheme of Incentives 2007 will be as under.

**1. Eligible units:**

Units established in Vidarbha Region and holding Eligibility Certificate under PSI-2007, which are using major minerals purchased from mine owners from the State, including captive mines and MSSIDC, as raw material required for manufacturing of the finished products.

**2. Definition of Royalty:**

Royalty shall mean the amount paid to State Government by the eligible industrial unit amount charged to it for purchases of major minerals as raw materials required for manufacturing of finished products from mine owners within the State of Maharashtra, including captive mines & MSSIDC.

**3. Quantum of Royalty Refund:**

The total quantum of Royalty Refund shall be limited to 100% of the eligible Fixed Capital Investment for a period of 5 years from the date of commencement of commercial production.

**4. General Provisions:**

- 4.1** The eligible unit after the expiry of financial year shall file an application with concerned Sr. Deputy Director, Directorate of Geology & Mining with Auditors Certificate and Challans / Invoices of Royalty paid by / charged to the unit for obtaining certificate in Annexure-II. The office of Senior Deputy Director, Directorate of Geology & Mining will issue the certificate in the prescribed format within 21 days of the receipt of application.

#### **4.2 Application for sanction of Royalty Refund:**

- 1) An eligible unit shall prefer claim for each financial year in Annexure-I.
- 2) A valid claim shall be supported with the following documents:
  - a) Certificate from Sr. Deputy Director, Directorate of Geology & Mining giving details of Royalty paid to the State Government on major minerals. (Annexure – II)
  - b) Certified copies of challans / invoices of Royalty paid to the State Government.
  - c) Auditor's Certificate with regards to Royalty paid and major minerals used in production. (Annexure – III)
  - d) Affidavit in prescribed format. (Annexure – IV)

All the enclosures shall be self certified / duly signed by the authorized signatory.

- 3) The valid claim should be filed with the implementing agency within 6 months from the expiry of the financial year. If the claims are filled after 6 months, the amount of Royalty Refund admissible in such cases will be 90% of admissible amount.

The claims filed after 1 year of the expiry of financial year of the claim will not be admissible.

- 4) The units which are granted Eligibility Certificate before the issue of Royalty Refund modalities GR, shall file all due claims within 6 months from the date of the GR.
- 5) However, all due claims shall be filed within 6 months from the date of Eligibility Certificate, if Eligibility Certificate is issued after the date of the GR.

#### **4.3 Sanctioning and disbursement agency:**

- 1) The implementing agency shall be the sanctioning and disbursement agency.
- 2) Upon receipt of valid application, the Implementing Agency shall decide the amount of Royalty payable based on normative consumption of mineral per ton of finished product. The application should ordinarily be disposed off within 30 days. (The format for the sanction of Royalty Refund shall be as per format at Annexure-V)

#### **5. Procedure for disbursement of Royalty Refund:**

The cases approved / sanctioned by the Implementing agency will be disbursed as per the availability of funds. The disbursement of sanctioned amount shall be made chronologically as per funds made available by the Government. The disbursement will be done through electronic transfer of funds.

#### **6. Monitoring and review:**

Monitoring of the production activities of the eligible unit will be done as per the provisions of Para 6.1 and 6.2 of the Government Resolution No. PSI-1707/(CR-50)/ IND-8 dated 30<sup>th</sup> March 2007 of Package Scheme of Incentives 2007.

Ref. No.

Date -

To,

The Development Commissioner (Industries)/  
Joint Director of Industries/  
General Manager, District Industries Centre.

Sir,

In accordance with the Package Scheme of Incentives (PSI) 2007, application is submitted for refund of Royalty of Rs. .... (Rupees.....) for the period from ..... to.....

1. The following Annexure / documents are enclosed along with the application.
  - a) Application under prescribed format. (Annexure – I)
  - b) Certificate from Sr. Deputy Director, Directorate of Geology & Mining giving details of Royalty paid to the State Government on major minerals. (Annexure – II)
  - c) Certified copies of challans / invoices of Royalty paid to the State Government.
  - d) Auditor's Certificate with regards to Royalty paid and major minerals used in production. (Annexure –III)
  - e) Affidavit in prescribed format. (Annexure – IV)

2. Certified that the statements made herein are true and as per facts.

I / We hereby agree that in the event of any reduction in my/our liability to royalty on purchase of minerals within Maharashtra as a result of any decision in appeals, revision or as a result of any judgment of a Tribunal or a Court or for any reasons what so ever including any mistakes in calculating the amount of refund of Royalty whether Provisional/Final disbursed to me/us under this scheme is reduced or determined at a lower amount or as nil, I/We shall repay the excess amount so disbursed along with interest @ 15.00% per annum or such other higher rate as may be determined by Implementing Agency and I/We shall not until then obtain any refund of Royalty.

Yours faithfully,

Place:-

Date :-

(Name, Status and Signature of  
Authorized Signatory)  
Status—Proprietor/Partner/  
Chairman /M.D./Director/  
Authorized Signatory

(Annexure – I)  
APPLICATION FOR REFUND OF ROYALTY  
UNDER PACKAGE SCHEME OF INCENTIVES (PSI) - 2007

(All amounts in Rs. Lakhs)

1. Name of the eligible unit :

2. Factory address :

Telephone :

Fax :

E-mail :

3. Office address :

Telephone :

Fax :

E-mail :

4. Eligibility Certificate No & Date :

5. Fixed Capital Investment (FCI) :  
approved

6. Date of commencement of commercial :  
production

7. Details of major minerals purchased :  
from mine owners (including captive  
mines & MSSIDC) and utilized for  
production

Minerals	Quantity	Amount

8. Period of Claim :

9. Details of Royalty charged to the unit by :  
mine owners

Minerals	Royalty amount	Invoice No. & Date
Total		

Place:-

Date :-

Yours Faithfully,

(Name, Status and Signature of  
Authorized Signatory)  
Status—Proprietor/Partner/  
Chairman /M.D./Director/  
Authorized Signatory

Annexure – II

Certificate from Sr. Deputy Director/Deputy Director,  
Directorate of Geology & Mining  
for Royalty Refund under PSI 2007

This is to certify that M/s. -----, located at ----- is using ----- minerals as raw material for production of ----- . This unit has utilized ----- metric tones of minerals after due payment of royalty. The paid amount of royalty for the major minerals purchased from the mine owners / produced from his own (captive) mines is Rs. ----- for the year ----- . The details are given below.

Sr. No.	Mineral	Quantity	Royalty paid	Challan / Invoice No. & Date
Total				

Sr. Deputy Director,  
Directorate of Geology & Mining  
Nagpur / Chandrapur

Date :

Place :

Accompaniment to form for the application for Royalty refund under PSI-2007

**Auditor's Certificate for Royalty Refund under PSI 2007**

---

I hereby Certify that from the examination of the Books of Accounts and other relevant records for the accounting year ----- to ----- of the applicant M/s.-----

-----  
that they have paid Rs.----- as royalty on ----- purchases of minerals used as raw material required for manufacturing of finished products, from mine owners within the State of Maharashtra. The statements made and particulars furnished herein for refund of Royalty are correct to the best of my knowledge and belief.

I hereby further certify that the figures shown herein are only in respect of royalty paid on raw material which is used in production by the eligible unit at-----

(-----)  
**CHARTERED ACCOUNTANT**  
Registration No.-----

**PLACE :**

**DATED :**

A F F I D A V I T

BEFORE THE COURT OF EXECUTIVE MAGISTRATE AT -----

I.....Proprietor/Partner/Director of M/s..... do hereby solemnly affirm and declare that in respect of the unit set up at ..... Taluka.....District.....for which an Eligibility Certificate No .....dated.....with effect from date.....is issued under PSI-2007 by Directorate of Industries/Joint Director of Industries/District Industries Centre.....

Unit has paid royalty amounting to Rs.....during the year.....on .....dated for ..... purchases of minerals used as raw material required for manufacturing of finished products, from mine owners within the State of Maharashtra and the claim for the same is preferred to Implementing Agency.

I undertake to repay the amount, if any, that is/will be drawn in excess of the amount admissible under the scheme together with an interest at 15 percent per annum or such other higher rate as may be prescribed by Implementing Agency from the date of disbursement of the Royalty Refund till the date of repayment.

Whatever stated above is true to the best of my knowledge and belief and I am aware that relying on my aforesaid statement, the Implementing Agency acting on behalf of the Government of Maharashtra have agreed to consider the sanction of royalty refund which they could otherwise not have considered.

Place :

Date:

(Signature, name, designation of  
Deponent)  
Seal of Company.

V E R I F I C A T I O N

Verified and signed at.....on this .....day of.....that the contents of above Paras are true and correct to the best of my knowledge and belief. If the above information is found incorrect, I shall be punishable U/S 199 and 2000 of IPC.

Place :

Date:

(Deponent)



(Annexure – V)

To,

M/s -----  
-----  
-----  
-----

Sub:- Sanction of Royalty Refund under PSI – 2007.

Your claim of Royalty Refund has been sanctioned as shown below.

1. Name of unit	:-
2. Factory Address	:-
3. EC No./ Date	:-
4. Actual FCI Approved	:- Rs.
5. Date of Production	:-
6. Period admissible for Refund of Royalty Incentives	:-
7. Products	:-
8. Period of claim	:-
7. Amount of claim	:- Rs.
8. Amount of claim sanctioned	:- Rs. In words ( Rs. )
9. Total Royalty Refund claims sanctioned before this claim.	:- Rs.

- a) Disbursement of claim will be done as per availability of funds and in the order of seniority after receipt of Annual Follow-up reports and ELP-I
- b) All other conditions of Eligibility Certificate and agreements executed under PSI-2007 are applicable before and after disbursement. If there is any contravention to the same, the above sanction will become automatically invalid.

Development Commissioner (Inds.) /  
Joint Director of Industries /  
General Manager, District Industries Centre.

Place :

Date: